**ANNEUXRE-I**

**PROFORMA FOR REPORT OF THE EXPERT COMMITTEE TO DECLARE THE UNIVERSITY ELIGIBLE TO RECEIVE CENTRAL ASSISTANCE UNDER SECTION 12B**

**OF THE UGC ACT, 1956**

1. **Introduction:**

**Uttar Pradesh Rajarshi Tandon Open University** (UPRTOU), Prayagraj,is a State Open University established by the Government of Uttar Pradesh.

The headquarter of the University is located at Prayagraj. The University has 12 Regional Centres and 1433 Learner Support Centres across the state of Uttar Pradesh. It has jurisdiction over whole state of Uttar Pradesh.

It is open to all persons irrespective of classes, castes, creed, religion or sex. The University offers 103programmes with on roll enrolment of around 80,000 learners. The University has completed 25 silver years of a fruitful existence that has brought it to the forefront in terms of its academic excellence.

* UPRTOU has been established by the UP-Act No. 10 of 1999 as passed by the Uttar Pradesh legislature.
* All the programmes of the University are approved by the Distance Education Bureau (DEB), University Grants Commission (UGC).
* University, is **accredited with B+ Grade (with 2.60 CGPA on 4 Point Scale) by National Assessment Accreditation Council (NAAC)** on 03,January 2023.

1. **Background of the Institution:**

The **Uttar Pradesh Rajarshi Tandon Open University** (UPRTOU) was established by theUP-Act No. 10 of 1999 as passed by the Uttar Pradesh legislature on 17 March 1999 and assented to by the Governor ofUttar Pradesh. The UPRTOU is the first Open University in Uttar Pradesh in terms of their establishment.

The important and distinguishing objectives for UPRTOU are to:

1. The University shall promote dissemination of learning and knowledge through distance education system to a large segment of the population and shall, in organizing its activities, have due regard to the objects specified in the schedule.
2. The University shall endeavour through education, research, training and extension to play a positive role in the development of the state.
3. Provide access to higher education for large segment of the population, and in particular, the disadvantaged groups such as those living in remote and rural areas including working people, house-wives and other adults who wish to upgrade or acquire knowledge through studies in various fields.
4. Promote acquisition of knowledge in a rapidly developing and changing society and continually offer opportunity for upgrading knowledge, training and skills in the context of innovations, research and discovery in all fields of human endeavors.
5. Provide an innovative system of university level education, flexible and open, in regard to methods and pace of learning, combination of courses, eligibility for enrolment, age of entry, conduct of examination and operation of programs with a view to promote learning and encourage excellence in new fields of knowledge.
6. Contribute to the improvement of the educational system by providing a non-formal channel complementary to the formal system, by encouraging transfer of credits and exchange of teaching staff and by making wide use of texts and other software developed by the University.
7. Provide education and training in various arts, crafts and skills of the country in general and the state in particular and simultaneously insure raising their quality and improving their availability to the people.
8. Provide or arrange training of teachers required for such activities or institutions.
9. Provide suitable Post-Graduate courses of study and promote research.
10. **Composition of the Expert Committee**

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| Chairperson | 1. | Professor Jag Mohan Singh Rajput  (Former Director, National Council of Educational Research and Training)  Res: A-16 Sector P/7, Mitra Enclave Opp. Greater Valley School, Greater Noida, U.P.- 201306 |
| Members  (3) | 2. | Professor Ashwini K Mohapatra  (Centre for West Asian Studies School of International Studies)  Jawaharlal Nehru University, New Delhi-110067 |
| 3. | Professor Pankaj Srivastava  Head, Department of Physics Indian Institute of Technology Delhi, Hauz Khas, New Delhi-110016 |
| 4. | Doctor Vinita Agarwal  Executive Member  (National Council for Vocational Education and Training)  4th Floor Kaushal Bhavan, Africa Avenue Diplomatic Enclave, Chanakya Puri, New Delhi-110023 |
| Coordinating Officer | | Dr. Amol Andhare  Deputy Secretary  University Grant Commission,  Bahadur Shah Zafar Marg, New Delhi-11000  Phone: 011-23604324(O), 8888569169(M) |

1. **Inspection Report**

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| 1 | Name of the University with Notification No. & date of State Govt. | Uttar Pradesh Rajarshi Tandon Open University (UPRTOU) Established by the UP-Act No. 10 of 1999 dated 17thMarch-1999, Notification No.: 2000(2)/ꓫVII- V-1-2(KA)24-1998.  Dated, Lucknow, 02-11-1998[(view)](http://www.uprtou.ac.in/ciqa/uprtou_Univrsity_Gazette.pdf) |
| 2 | Registered Office of the University | U.P.Rajarshi Tandon Open University (UPRTOU),  **Sector-F, Shantipuram, Phaphamau, Prayagraj, 211013, Uttar Pradesh.** |
| 3 | Name & Headquarters of the Society / Promoting Agency | -- Not Applicable -- |
| 4 | Whether the Society/Agency is involved in promoting / running any other University / Institution? If yes, give details: | -- Not Applicable -- |
| 5 | Territorial Jurisdiction | The University as per, in exercise of its power, has jurisdiction over the whole state of Uttar Pradesh. |
| 6 | Date of Virtual Inspection | 7th& 8th October, 2024. |
| 7 | Whether administrative authorities like Governing Council, Academic Council & BOS formed? | 1. The Executive Council:   <http://www.uprtou.ac.in/governing-bodies.php>   1. The Academic Council:   <http://www.uprtou.ac.in/governing-bodies.php>   1. The Examination Committee: <http://www.uprtou.ac.in/governing-bodies.php> 2. The Recognition Board:   <http://www.uprtou.ac.in/governing-bodies.php>   1. The Planning Committee:   <http://www.uprtou.ac.in/governing-bodies.php>   1. The Finance Committee:   <http://www.uprtou.ac.in/governing-bodies.php> |
| 8 | Whether Vice Chancellor, Registrar, Financial Officer and Administrative Officers appointed? | Yes |
| 9 | Source of finance and quantum of funds available –  From fees:  From State Govt.  From UGC  From other sources (details) | As on Financial Year: 2023-24   * From Fees: Rs. 567,131,961/- * From State Govt.: Rs. 21,526,893/- * From UGC-DEB.: Rs. 0/- * From Other Sources: Rs. 67,344,434/- * Quantum of Funds available: 656,003,288/-[(View)](http://www.uprtou.ac.in/ciqa/28092024_Quantum_fund.pdf) |
| 10 | Corpus Fund of the Society/trust shown to the Inspection Committee(Attested copy to be attached) | * Corpus Fund of the University: Rs. 4.8crore[(view)](http://www.uprtou.ac.in/ciqa/28_09_2024_uef.pdf) |
| 11 | Statement of income & expenditure for the last 3 year. | Financial Year: 2021 – 22 [(View)](http://www.uprtou.ac.in/ciqa/Financial%20Statements%202021-22.pdf)  Financial Year: 2022 – 23 [(View)](http://www.uprtou.ac.in/ciqa/Financial%20Statements%202022-23.pdf)  Financial Year: 2023 – 24[(View)](http://www.uprtou.ac.in/ciqa/Financial%20Statements%202023-24.pdf) |
| 12 | Land documents, if shown, area of land registered in thename of the University and its location in the State. | * Area of total land registered:**16.745 Acres** and its location in the state[(View)](http://www.uprtou.ac.in/ciqa/29092024_total_land_det.pdf) * Headquarter: - Prayagraj * Ganga Parisar&SaraswatiParisar: - 39832.32 Sq. meters (9.84 acre) * Yamuna Parisar: - 22267.11 Sq. meters (5.5 acre) * Total: 15.34Acres * Regional Centre, Bareilly –1106.10 Sq. Meters * Regional Centre, Lucknow–1000.48 Sq. Meters * Regional Centre, Kanpur –1362.00 Sq. Meters * Regional Centre, Gorakhpur –805.73 Sq. Meters * Regional Centre, Varanasi – 788.55 Sq. Meters * Regional Centre, Ayodhya –625.00 Sq. Meters |
| 13 | Administrative Office   1. Total plinth area, 2. Built up area. 3. Separate offices for Vice Chancellor, Registrar, Finance Officer, Controller of Examination, Administrative Office, Committee Room, students waiting room etc. availableor not. | Administrative office: [(View)](http://www.uprtou.ac.in/ciqa/proforma_12b.pdf)   1. Total plinth area: 2422.84 Sq. Meters 2. Built up area:10014.81 Sq. Meters 3. Separate offices – Yes, available |
| 14 | Details of the academic buildings  (give details).   1. Owned by the University. 2. Temporary / Leased property, if any. | Academic Buildings (details):   1. Owned by the University: Yes, 3817.71 Sq. Meters 2. Temporary / Leased property, if any:Yes, for 08 Regional Centers (Ayodhya, Varanasi, Gorakhpur, Meerut, Noida, Agra, Jhansi, Azamgarh) |
| 15 | Number of Classrooms, give details. | No. of Classrooms:  ICT Enabled Classrooms / Digital Classrooms: 14[(View)](http://www.uprtou.ac.in/ciqa/digital_classroom.pdf) |
| 16 | Details of Library  i) covered area,  ii) No. of books  iii) No. of journals  a) National  b) International | Detailsoflibrary:   1. Covered area:5800 Squarefeet 2. No. of books: 45808 3. No. of Journals    1. National: 38    2. International: 21 4. No. of Magazines/ Newspaper: 16 |
| 17 | Number of Laboratories, give details. | NIL |
| 18 | Whether students already admitted?  If yes, details of courses and the number of students admitted in each course during the last three years. | Yes- as per attachments  Academic Year: 2021– 22  Academic Year: 2022 – 23 [(View)](http://www.uprtou.ac.in/ciqa/3_years_Admission_Data.pdf)  Academic Year: 2023 – 24 |
| 19 | Whether any Off-Campus or Study/ offshore Centre or Admission Centre/ established outside the State/abroad. | No |
| 20 | Details of new educational and Communication technologies being used. | New educational and Communication technologies: [(View)](http://www.uprtou.ac.in/ol_edu_cent.php)   * e-GYANAARJAN (Learning Management System) * Live Classes * UPRTOU YouTube Channel * e-SLMs * Feedback * Online Learner Satisfaction Survey Form * EKALAVYA |
| 21 | Whether functioning of the University have been Computerized?  If yes, to what extent? | Yes, functioning of the University have been Computerized.[(View)](http://www.uprtou.ac.in/ciqa/29092024_Samarth.pdf) |
| 22 | a. Research and Extension Facility  b. List of Research Publications for last 3 years.  c. List of ongoing research projects with their source of funding. | a. Research and Extension Facility [(View)](http://www.uprtou.ac.in/iqac.php?id=57)  b. List of Research Publications for last 3 years[(View)](http://www.uprtou.ac.in/ciqa/29092024_Research_papers.pdf)  c. List of ongoing research projects with their source of funding: ([View)](http://www.uprtou.ac.in/ciqa/25_08_2024_PROJECT_DETAILS.pdf) |
| 23 | Future plans for starting new courses. | **Future plans for starting new Programmes/ Courses:**  University is planning to start programmes / courses related to latest trends and technologies in Information Technology like Data Analytics and Data Science, Foreign Languages, Share Market &Mutual Funds and Industry required customized and skill development short term courses. Some courses on life skills like Universal Human Values, Professional skills and Leadership and Management Skills shall also be introduced. |
| 24 | Whether courses in emerging areas introduced/proposed. | Yes, Programmes offered in the emerging areas will be:   * Masters of Public Administration (MAPA) * Masters of Yoga (MAYO) * Masters of Home Science (MAHSC) * Masters in Chemistry (M.Sc) * Masters in Physics (M.Sc) * Masters in Maths (M.Sc) * Masters in Botany (M.Sc) * Masters in Zoology (M.Sc) * Masters in Environmental Science (M.Sc) * Diploma in Share market & mutual funds |
| 25 | a. Whether the University is running courses on distance mode.  b. If yes, give details of courses run and whether prior approval taken from DEC/UGC for running courses under distance mode. If so, please attach copies of approval. | 1. Yes (By the UP-Act No. 10 of 1999 university is established as State Open University) 2. Details of programmesrunning:[(View)](http://www.uprtou.ac.in/ciqa/30092024_Details_of_courses_run_in_ODL_mode.pdf)   Yes, Prior approval from UGC-DEB has been taken:  Letter from UGC-DEB (July 2023 [view](http://www.uprtou.ac.in/ciqa/UGC_recognition_letter_July_2023.pdf)) (Jan 2024 [view](http://www.uprtou.ac.in/ciqa/Recognition_Letter_Feb_2024.pdf)) |
| 26 | Whether approval of relevant statutory bodies obtained for starting professional/ courses/ increased intake. | Yes   1. Letter from NCTE:[(view)](http://14.139.237.190/ciqa/ncte%20aprooval.pdf) 2. Letter from RCI:[(view)](http://14.139.237.190/ciqa/RCI%20Approval.pdf) 3. Letter from AICTE:[(view)](http://14.139.237.190/ciqa/aicte.pdf) 4. Letter from UGC-DEB (July 2023[view](http://www.uprtou.ac.in/ciqa/UGC_recognition_letter_July_2023.pdf)) (Jan 2024[view](http://www.uprtou.ac.in/ciqa/Recognition_Letter_Feb_2024.pdf)) |
| 27 | Linkages with other Institutions  (National & International, give details). | Yes, 52 MOUs as per attachment [(View)](http://www.uprtou.ac.in/ciqa/29092024_UPRTOU_MOU_28.xlsx) |
| 28 | Admission Procedure. | As per attachment [(View)](http://www.uprtou.ac.in/ciqa/29092024_Admission_Process.pdf) |
| 29 | Fee structure for the different courses run by the University. | As per attachment [(View)](http://www.uprtou.ac.in/ciqa/Program_wise_Fee_2024_25.pdf) |
| 30 | Examination System. | Examination Manual [(View)](http://www.uprtou.ac.in/ciqa/29092024_Examination.pdf) |
| 31 | Number of Sanctioned posts –  Professors –  Associate Professor –  Assistant Professors – | No. of Sanctioned posts:[(View)](http://www.uprtou.ac.in/ciqa/29092024_Sanctioned_Post_Teaching.pdf)   1. Directors/ Professors – 07 2. Professors – 05 3. Deputy Directors/ Associate Professors – 02 4. Associate Professors – 06 5. Assistant Directors/Assistant Professors –02 6. Assistant Professors – 13   Total: 35  Assistant Professor (Contractual): 66 |
| 32 | Names, designations, qualifications and publications of the existing teaching staff (department-wise). | As per attachment [(View)](http://www.uprtou.ac.in/ciqa/29092024_Research_papers.pdf) |
| 33 | Whether the faculty members organized or Attended International / National Conferences Workshops, if so, give details | Organized /attended International/National Conferences /Workshops – Yes  As per attachment [(View)](http://www.uprtou.ac.in/ciqa/29092024_Research_papers.pdf) |
| 34 | Whether Non-teaching staff appointed,  if yes, give details | Non-Teaching Staff appointed – Yes  Number of regular non-teaching post.[(View)](http://www.uprtou.ac.in/ciqa/29092024_Non_Teaching_Parmanent.pdf)  Number of contractual non-teaching post. [(View)](http://www.uprtou.ac.in/ciqa/29092024_Contractual_Non_Teaching.pdf) |
| 35 | Whether institute of following UGC pay scales for teaching staff. | Yes, UGC pay scales are applicable for teaching staff along with the approval from State Government. |
| 36 | Facilities for faculty and staff | Facilities for faculty and staff as per Staff Welfare Policy [(View)](http://www.uprtou.ac.in/ciqa/05_07_2023_welfare_measures_policy.pdf) |
| 37 | Facilities for students. | Facilities for Learners –as per Staff Welfare Policy [(View)](http://www.uprtou.ac.in/student_corner.php) |
| 38 | Sports and Games facilities with details | Sports and Games facilities with detailsavailable [(View)](http://www.uprtou.ac.in/ciqa/29092024_sports_facility.pdf) |
| 39 | Hostel facilities available, if any. | Yes, hostel facilities available for our Learners. (View) |
| 40 | Other facilities available at the Institute(s), give details. | All the available facilities are listed on the University website–[(View)http://www.uprtou.ac.in/index.php?cmd=Home](http://www.uprtou.ac.in/index.php?cmd=Home) |
| 41 | Any other information | --- |

1. **Other Requirements**

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| **Status with regard to fulfilment of conditions under Section 12B of the UGC Act, 1956** | | |
| **Sr.**  **No.** | **UGC Requirements** | **Compliance of the university** |
|  | Whether the University is a university established or incorporated by or under a State Act. |  |
|  | In the case of any other University, the establishment of the University is justified on one or more of the following grounds, namely: -  a. Inadequacy of the facility for higher education and research in the area in which the University is situated and the inability of the existing University or Universities of the state concerned to provide for such facilities either in its or their existing faculties or schools or by the establishment of post-graduate centres or campuses.  b. The University has or shall have some special features or new programme and activities which will augment the existing academic resources in the country.  c. Whether the University has or shall have programmes for the upliftment of backward areas or removal ofregional imbalances. |  |
|  | Whether the law under which the University is established contain a provision for the establishment of a Planning Board of Committee consisting of eminent educationists to indicate the lines on which the University shall develop. |  |
|  | Whether the law under which the University, established, due weight has been given to the recommendations made by :-   * 1. The Education Commission (1964-66) constituted by are solution of the Government of India.   2. The committee on the Governance of Universities appointed by the Commission; and   3. The committee of Panels appointed by the Commission during the last ten years. |  |
|  | Whether the University is not merely affiliating University but a multi-faculty University having adequate facilities for teaching and research in a number of subjects. |  |
|  | Whether the University has powers to provide instruction through correspondence courses and to declare a college, department, centre or campus as an autonomous college, department, centre-or campus, respectively. |  |
|  | Whether every teaching department, centre discipline in the University has a provision for a minimum staff of one Professor, two Readers and an adequate number of Lectures along with the necessary supporting staff. |  |
|  | Whether adequate machinery for the health residence and welfare for the students has either been provided in, or resources are being made available for the purpose to the University. |  |
|  | Whether the University has been provided with adequate facilities in respect of building, equipment, books, library, hostel and staff quarters, excluding college library, institutions affiliated or admitted to its privileges, who segregate value is not less than Rs. 2 Crores along with the developed land or the State Government is prepared to give an assurance to the Commission that a minimum amount of Rs. 2 crores shall be made available to the University during the initial period of five years for the provision of the above mentioned facilities in addition to the developed land. |  |
|  | Whether having valid NAAC accreditation |  |

1. **Observations of the Committee.**
2. **Suggestions of the Committee.**
3. **Recommendations of the Committee.**

**Names and signatures of the Members of the Committee along with the Coordinator.**

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| Chairperson | 1. | Professor Jagmohan Singh Rajput  (Former Director, National Council of Educational Research and Training)  Res: A-16 Sector P/7, Mitra Enclave Opp. Greater Valley School, Greater Noida, U.P.- 201306 |
| Members  (3) | 2. | Professor Ashwini K Mohapatra  (Centre for West Asian Studies School of International Studies)  Jawaharlal Nehru University, New Delhi-110067 |
| 3. | Professor Pankaj Srivastava  Head, Department of Physics Indian Institute of Technology Delhi, Hauz khas, New Delhi-110016 |
| 4. | Doctor Vinita Agarwal  Executive Member  (National Council for Vocational Education and Training)  4th Floor Kaushal Bhavan, Africa Avenue Diplomatic Enclave, Chanakya Puri, New Delhi-110023 |
| Coordinating Officer | | Dr. Amol Andhare  Deputy Secretary  University Grant Commission,  Bahadur Shah Zafar Marg, New Delhi-11000  Phone: 011-23604324(O), 8888569169(M) |

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